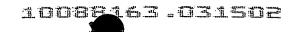
TRANSMITTAL LETTER TO DESIGNATED/ELECTED CONCERNING A FILING	ATTORNEY'S DOCKET NUMBER  KWM-001						
ψ	U.S. APPLICATION (1/kmg) 838.Fg 1.8 3						
INTERNATIONAL APPLICATION NO. PCT/JP01/06143	INTERNATIONAL FILING DATE July/16/2001	PRIORITY DATE CLAIMED July/17/2000					
TITLE OF INVENTION:							
SEMICONDUCTOR DEVICE AND METHOD	FOR MANUFACTURING THE SAME						
APPLICANT(S) FOR DO/EO/US Kazutaka SHIBATA							
Applicant herewith submits to the United State	es Designated/Elected Office (DO/EO/US	S) the following items and other information:					
1. Kan This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.							
2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.							
3. This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I).							
4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.							
b. X has been transmitted by the In	red only if not transmitted by the Internat						
6. X A translation of the International Appli	cation into English (35 U.S.C. 371(c)(2))						
7. Amendment to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).  a. □ are transmitted herewith (required only if not transmitted by the International Bureau).  b. □ have been transmitted by the International Bureau.  c. □ have not been made; however, the time limit for making such amendment has NOT expired.  d. ☒ have not been made and will not be made.							
8. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).							
9. XAn oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).							
10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).							
Items 11 to 16 below concern either document	t(s) or information included:						
11. X An Information Disclosure Statement under 37 CFR 1.97 and 1.98.							
12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.							
-13. ■A FIRST preliminary amendment	<del>_</del> <del>_</del>						
☐ A SECOND or SUBSEQUENT prelim	ninary amendment.						
14. A substitute specification.							
15. $\square$ A change of power of attorney and/or address letter.							
16.  Other items:							





## JC13 Rec'd PCT/PTO 1 5 MAR 2002

17.  The following fees are submitted:  Basic National Fec (27 CRF 1-49(a)(1)(5): Search Report has been prepared by the EPO or IPO. International preliminary examination fee paid to USPTO (37 CRF 1-482) but international search fee paid to USPTO (37 CRF 1-482).  No international preliminary examination fee paid to USPTO (37 CRF 1-482) but international search fee (37 CRF 1-445(a)(2)).  Neither international preliminary examination fee paid to USPTO (37 CRF 1-482) but international search fee (37 CRF 1-48(a)(2)).  Neither international preliminary examination fee paid to USPTO (37 CFR 1-482) and all claims satisfied provisions of FCT Article 33(2)(4).  Neither international preliminary examination fee paid to USPTO (37 CFR 1-482) and all claims satisfied provisions of FCT Article 33(2)(4).  ENTER APPROPRIATE BASIC FEE AMOUNT = \$890  Surcharge of \$130.00 for furnishing the eath or declaration later than 20 30 months from the earliest claimed priority date (37 CFR 1-49(c)).  Claims	U.S. APPLYATON \$6. (Dingo, 6)	T553	INTERNATIONAL APPLICATION NO. PCT/JP01/06762			ATTORNEY'S DOCKET NUMBER  KWM-001		
Search Report has been prepared by the EPO of JPO						CALCULATIONS	PTO USE ONLY	
No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.482) (27 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4).    International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4).    ENTER APPROPRIATE BASIC FEE AMOUNT =	- Basic National Fee (37 CRF 1.49(a)(1)-(5): Search Report has been prepared by the EPO or JPO					\$ 890		
No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.482) and international search fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PcT Article 33(2)-(4) may be to USPTO (37 CFR 1.482) and all claims satisfied provisions of PcT Article 33(2)-(4) may be to USPTO (37 CFR 1.482) and all claims satisfied provisions of PcT Article 33(2)-(4) may be possible to use the provisions of PcT Article 33(2)-(4) may be provided by the provisions of PcT Article 33(2)-(4) may be provide								
CFR 1.445(a)(2)) paid to USPTO	No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search				·			
Briter Appropriate Basic FEE AMOUNT = \$ 890  Surcharge of \$130.00 for furnishing the each or declaration later than	Neither international prelin CFR 1.445(a)(2)) paid to U	ninary examinatio	on fee (37	CFR 1.482) nor interna	tional search fe	e (37		
Surcharge of \$130.00 for furnishing the oath or declaration later than 20 30 months from the earliest claimed priority date (37 CFR 1.49(e)).    Claims								
Surpropriate sheet (37 CFR 1.94)(h).  Claims   Number Filled   Number Extra   Rate    Total   Claims   35-20=   15   X 518   \$ 270    Independent   Claims   6-3=   3   X 584   \$ 252    Multiple dependent claim(s) (if applicable)   + \$280   \$ 280    TOTAL OF ABOVE CALCULATIONS   = \$ 802    Reduction by ½ for filing by small entity, if applicable. Verified Small Entity statement must also be filed.   \$ 802    Reduction by ½ for filing by small entity, if applicable. Verified Small Entity statement must also be filed.   \$ 802    Processing fee of \$130.00 for furnishing the English translation later than   20   30 months from the earliest claimed priority date (37 CFR 1.940f).   \$ 802    TOTAL NATIONAL FEE   \$ \$1692    Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate sheet (37 CFR 3.28, 3.31). \$40.00 per property   + \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$		ENTE	R APPR	OPRIATE BASIC	FEE AMO	UNT =	\$ 890	
Total Claims 35-20= 15 X \$18 \$ 270  Independent Claims 6-3= 3 X \$84 \$ 252  Multiple dependent claim(s) (if applicable) + \$280 \$ 280  TOTAL OF ABOVE CALCULATIONS = \$ 802  Reduction by ½ for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28)  SUBTOTAL = \$ 802  Processing fee of \$130.00 for furnishing the English translation later than 20 30 months from the earliest claimed priority date (37 CFR 1.49(f)).  TOTAL NATIONAL FEE = \$ \$1692  Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate sheet (37 CFR 3.28, 3.31). \$40.00 per property  TOTAL FEES ENCLOSED = \$  Anount to be refunded: charged: \$  TOTAL PEES ENCLOSED = \$  Anount to be refunded: charged: \$  TOTAL PEES ENCLOSED  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 18-0013. A duplicate copy of this sheet is enclosed.  NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.  SEND ALL CORRESPONDENCE TO: Rader, Fishman & Grauer, L. P. P. C. 1233 200 Street, N. W., Suite 501  Washington, DC 20036	Surcharge of \$130.00 for furnishing the oath or declaration later than 20 30 months from the					\$		
Independent Claims 6-3= 3 X \$84 \$ 252  Multiple dependent claim(s) (if applicable) + \$280 \$ 280  TOTAL OF ABOVE CALCULATIONS = \$802  Reduction by % for filing by small entity, if applicable. Verified Small Entity statement must also be filed. \$  NOTE 37 CFR 1.9, 1.27, 1.28)  SUBTOTAL = \$802  Processing fee of \$130.00 for furnishing the English translation later than 20 30 months from the earliest claimed priority date (37 CFR 1.49(f)).  TOTAL NATIONAL FEE = \$1692  Fee for recording the enclosed assignment (37 CFR 1.21(ft)). The assignment must be accompanied by an appropriate sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$  TOTAL FEES ENCLOSED = \$  Amount to be refunded: \$  charged: \$  a. A check in the amount of \$	Claims	Number Fi	lled	Number Extra	Rat	<u>e</u>		
Multiple dependent claim(s) (if applicable)	Total Claims	35-20=		15	X \$18		\$ 270	
Reduction by ½ for filing by small entity, if applicable. Verified Small Entity statement must also be filed.    Reduction by ½ for filing by small entity, if applicable. Verified Small Entity statement must also be filed.   \$	Independent Claims	6-3=		3	X \$84		\$ 252	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed.  SUBTOTAL  SUBTOTAL  Processing fee of \$130.00 for furnishing the English translation later than 20 30 months from the earliest claimed priority date (37 CFR 1.49(f)).  TOTAL NATIONAL FEE  Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate sheet (37 CFR 3.28, 3.31). \$40.00 per property  TOTAL FEES ENCLOSED  TOTAL FEES ENCLOSED  Amount to be refunded: charged: \$  a. A check in the amount of \$	Multiple dependent claim(s) (if applicable) + \$280					\$ 280		
SUBTOTAL = \$ 802  Processing fee of \$130.00 for furnishing the English translation later than	TOTAL OF ABOVE CALCULATIONS =				\$ 802			
Processing fee of \$130.00 for furnishing the English translation later than 20 30 months from the earliest claimed priority date (37 CFR 1.49(f)).  TOTAL NATIONAL FEE = \$1692  Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$  TOTAL FEES ENCLOSED = \$  Amount to be refunded: that generally the following states are considered and granted to restore the application to pending status.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 18-0013. A duplicate copy of this sheet is enclosed.  NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.  SEND ALL CORRESPONDENCE TO: Rader, Fishman & Grauer, L.P.P.C. 1233 20th Street, N.W., Suite 501 Washington, DC 20036  NAME 42.314	Reduction by ½ for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28)				\$			
TOTAL NATIONAL FEE  Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate sheet (37 CFR 3.28, 3.31). \$40.00 per property  TOTAL FEES ENCLOSED  TOTAL FEES ENCLOSED  TOTAL FEES ENCLOSED  Amount to be refunded: charged: \$  a. A check in the amount of \$	SUBTOTAL =				\$ 802			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$  TOTAL FEES ENCLOSED = \$  Amount to be refunded: charged: \$  a. □ A check in the amount of \$	Processing fee of \$130.00 for furnishing the English translation later than 20 30 months from the earliest claimed priority date (37 CFR 1.49(f)).					\$		
appropriate sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$  TOTAL FEES ENCLOSED = \$  Amount to be refunded: \$  charged: \$  a. □ A check in the amount of \$	TOTAL NATIONAL FEE =					\$1692		
Amount to be refunded:  a.  A check in the amount of \$	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate sheet (37 CFR 3.28, 3.31). \$40.00 per property					\$		
a. A check in the amount of \$ to cover the above fees is enclosed.  b. Please charge my Deposit Account No. 18-0013 in the amount of \$ 1692.00 to cover the above fees. A duplicate of this sheet is enclosed.  c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 18-0013. A duplicate copy of this sheet is enclosed.  NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.  SEND ALL CORRESPONDENCE TO:  Rader, Fishman & Grauer, L.P.P.C.  1233 20th Street, N.W., Suite 501  Washington, DC 20036  NAME 42,314	TOTAL FEES ENCLOSED =					\$	<u> </u>	
a. A check in the amount of \$ to cover the above fees is enclosed.  b. Please charge my Deposit Account No. 18-0013 in the amount of \$ 1692.00 to cover the above fees. A duplicate of this sheet is enclosed.  c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 18-0013. A duplicate copy of this sheet is enclosed.  NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.  SEND ALL CORRESPONDENCE TO:  Rader, Fishman & Grauer, L.P.P.C.  1233 20 <sup>th</sup> Street, N.W., Suite 501  Washington, DC 20036  NAME 42.314						s		
b. Please charge my Deposit Account No. 18-0013 in the amount of \$1692.00 to cover the above fees. A duplicate of this sheet is enclosed.  c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 18-0013. A duplicate copy of this sheet is enclosed.  NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.  SEND ALL CORRESPONDENCE TO:  Rader, Fishman & Grauer, L.P.P.C.  1233 20th Street, N.W., Suite 501  Washington, DC 20036  Robert J. Green Manuel Advantage of this sheet is enclosed.  NAME 42,314							charged:	\$
The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No18-0013 A duplicate copy of this sheet is enclosed.  NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.  SEND ALL CORRESPONDENCE TO:  Rader, Fishman & Grauer, L.P.P.C.  1233 20th Street, N.W., Suite 501  Washington, DC 20036  Robert J. Green MAME  42,314	a. A check in the amount of \$ to cover the above fees is enclosed.							
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.  SEND ALL CORRESPONDENCE TO:  Rader, Fishman & Grauer, L.P.P.C.  1233 20th Street, N.W., Suite 501  Washington, DC 20036  NAME  42,314	b. Please charge my Deposit Account No. 18-0013 in the amount of \$1692.00 to cover the above fees. A duplicate of this sheet is enclosed.							
SEND ALL CORRESPONDENCE TO:  Rader, Fishman & Grauer, L.P.P.C.  1233 20 <sup>th</sup> Street, N.W., Suite 501  Washington, DC 20036  NAME  42,314	c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>18-0013</u> . A duplicate copy of this sheet is enclosed.							
Rader, Fishman & Grauer, L.P.P.C.  1233 20 <sup>th</sup> Street, N.W., Suite 501  Washington, DC 20036  Robert J. Green  August 42,314	NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.							
Rader, Fishman & Grauer, L.P.P.C.  1233 20 <sup>th</sup> Street, N.W., Suite 501  Washington, DC 20036  Robert J. Gravil K. Benson  NAME 42,314	CEND ALL CORRESPONDENCE	ar mo				1	1001	
1233 20th Street, N.W., Suite 501 Washington, DC 20036  NAME 42,314						Kole	44/Vin	SIGNATURE
42,314	1233 20 <sup>th</sup> Street, N.W., Su	, L.F.P.C. lite 501			26		David K. Bensor	1
	Washington, DC 20036				Kobert	5. GREER	J	
	Dated: March 15, 2002 #66. No. 41,80						REGISTRA	